

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No.	Application No.:
	CISCP217/4073	09/867,967
	Applicant:	
	Shen and Tse	
	Filing Date	Group
	May 29, 2001	2631

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1	5,907,374	5/25/99	Liu			6/30/97
	A2	6,687,296	2/3/04	Sato et al.			11/7/00
	A3	6,553,148	4/22/03	Zeng et al.			5/21/01

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	B1	U.S. Office Action mailed December 21, 2004, from U.S. Application No. 09/867,966.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 100 (Modified)	Atty Docket No. CISCP219/4103	Application No.: 09/867,966
Information Disclosure Statement By Applicant	Applicant: Wu <i>et al.</i>	
(Use Several Sheets if Necessary)	Filing Date May 29, 2001	Group 2621

## U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	A						
	B						
	C						

## Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
	D						Yes	No
	E							
	F							
	G							
	H							

Technology Center 2600

## Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
JW	I	G. Keesman, R. Hellinghuizen, F. Hoeksema, G. Heideman, "Transcoding of MPEG bitstreams", Signal Processing: Image Communication, Vol. 8 (1996), pp. 481-500
JW	J	Brian C. Smith, "A Survey of Compressed Domain Processing Techniques," downloaded from <a href="http://www.uky.edu">www.uky.edu</a> , March 20, 2001, 10 pages
JW	K	Anthony Vero, "MPEG-4 Rate Control for Multiple Video Objects," <u>IEEE Transactions on Circuits and Systems for Video Technology</u> , Vol 9, No. 1, February 1999, pp. 186-199
JW	L	Shen <i>et al.</i> , "Methods and Apparatus for Updating A Reduction Ratio," U.S. Patent Application Serial No. 09/867,967, filed May 29, 2001, 55 pages
JW	M	Yuen-Wen Lee, "Methods and Apparatus for Selecting a Cut-off Index," United States Patent Application Serial No. 09/870,177, filed May 29, 2001, 53 pages
Examiner	Date Considered 12/20/04	

Examiner Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

---

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re application of: Shen and Tse

Attorney Docket No.: CI SCP217/4073

Application No.: 09/867,967

Examiner: Diep, Nhon Thanh

Filed: May 29, 2001

Group: 2613

Title: METHODS AND APPARATUS FOR  
UPDATING A REDUCTION RATIO**CERTIFICATE OF FACSIMILE TRANSMISSION:**

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office, Commissioner for Patents, Attn: Examiner Diep, Fax No. 571-273-8300, Alexandria, VA 22313-1450 on: August 4, 2005

Signed: \_\_\_\_\_

Mia Mitchell-Haynes

**INFORMATION DISCLOSURE STATEMENT  
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(c))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

08/08/2005 HGUTEM1 00000039 500388 09867967

01 FC:1806 180.00 DA

RECEIVED  
OIP/1AP  
AUG 08 2005

Accompanying this Information Disclosure Statement is

- ☐ a statement as specified in 37 CFR 1.97(e); or  
☒ the fee set forth in 37 CFR 1.17(p).

The Commissioner is hereby authorized to charge \$180.00 to Deposit Account 500388  
(Order No. CISC217).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

  
Godfrey K. Kwan  
Registration No. 48,615

P.O. Box 70250  
Oakland, CA 94612-0250  
(510) 663-1100